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26.5A. REFERENCES TO ADDED ENTRIES FOR SERIES AND SERIALS. SERIES.

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### Introduction

#### *LC practice:*

LC has announced a change in policy applying to all bibliographic resources (monographs, serials, and integrating resources) in series. As of June 1, 2006, LC analyzes and classifies separately all parts of monographic series and of multipart monographs with the exception of those categories listed in LCRI 13.3. As of the same date, LC does not give “controlled” access points for series in new LC original cataloging (CIP and non-CIP) bibliographic records, does not update series access points in existing bibliographic records, and does not consult, make, or update series authority records. LC will “pass through” the series information already in bibliographic records used by LC as copy (CIP/LC partner records, PCC records, and non-PCC (including Casalini) records).

#### *PCC practice:*

The PCC Policy Committee has announced that the PCC series policy remains unchanged. As of June 1, 2006, this LCRI applies to PCC practice only.

Although rule 26.5A addresses only see references for traced series, this LCRI contains guidelines for see *and* see also references on series authority records for *all* categories represented

by such authority records (monographic series, other serials, multipart items, series-like phrases) *regardless* of local treatment decisions concerning analysis, classification, and tracing practices. Unless a specific category is mentioned, the word "series" in this LCRI applies to all four categories.

*N.B.:* Full reference structure is *not* given for each example.

If an added entry is needed for a series in a bibliographic record, or if the heading for a series is needed as part of a heading/reference in a name/series authority record, use the heading on the series' own AACR2 bibliographic record or authority record.

### General Guidelines for See References

In title references (subfield \$a, subfield \$n, and subfield \$p) and title portions of name/title references, omit an initial article unless it is to be filed on.

Add a qualifier to a reference if it conflicts with the heading of another publication according to the guidelines in LCRI 25.5B.

Add the qualifier "(Series)" to a reference if it is identical to a personal or corporate (including geographic) name (cf. LCRI 25.5B).

Do *not* break a conflict between see references.

When using an existing series authority record, add any appropriate references not already in the record. Do *not* delete references made according to earlier policies.

### Types of See References

#### 1) *Alternative forms not selected as series heading*

##### a) *Heading is uniform title*

(1) Give a name/title proper reference for the situations listed below. If the heading includes a parenthetical qualifier, do *not* include that qualifier when recording the title proper in the reference.

(a) when a body responsible for the series is a noncommercial one or is a commercial one whose responsibility extends beyond that of merely publishing the series; in this context, consider university presses as "commercial";

```
130 #0 $a Environmental sciences and application
410 2# $a United Nations Institute for Training and
      Research. $t Environmental sciences and
      application
```

```
130 #0 $a Langues à l'INALCO
```

410 2# \$a Insitut national des langues et civilisations  
orientales. \$t Langues à l'INALCO

130 #0 \$a Studies in education (London, England)

410 2# \$a University of London. \$b Institute of  
Education. \$t Studies in education

130 #0 \$a Occasional paper (Mendocino Academy of  
Science)

410 2# \$a Mendocino Academy of Science. \$t Occasional  
paper

(b) when the name, an initialism/acronym, or part of the name of a corporate body is in the series title even if that body is not responsible for the series; however, do not make the reference if the body is a commercial publisher responsible only for publishing the series;

130 #0 \$a Harvard historical monographs

410 2# \$a Harvard University. \$t Harvard historical  
monographs

410 2# \$a Harvard University. \$b Dept. of History. \$t  
Harvard historical monographs

*(Department of History is responsible for the series)*

(c) when the title of a *numbered* series consists solely of a form of a corporate body's name whether or not that form of name is identical with the name of the corporate body given as the heading on the body's name authority record.

130 #0 \$a Suffolk Records Society (Series)

410 2# \$a Suffolk Records Society. \$t Suffolk Records  
Society

130 #0 \$a HAZ (Series)

410 2# \$a Historical Association of Zambia. \$t HAZ

130 #0 \$a Institut sotsialististicheskogo prava  
(Series)

410 2# \$a Institute on Socialist Law. \$t Institut  
sotsialististicheskogo prava

130 #0 \$a University of Warsaw, Institute of  
Psychology (Series)

410 2# \$a Uniwersytet Warszawski. \$b Instytut  
Psychologii. \$t University of Warsaw, Institute  
of Psychology

(2) Give a reference from title proper when it differs from the uniform title except when the difference is the addition of a language name or a parenthetical qualifier.

130 #0 \$a EDI policy seminar report. \$l Spanish  
430 #0 \$a Informe de un seminario de política del IDE

130 #0 \$a Information (Zurich, Switzerland). \$l French  
*(reference not given from title proper in English: Information)*

130 #0 \$a Skrifter (Dansk folkemindesamling)  
*(reference not given from title proper: Skrifter)*

b) *Heading is name/title proper*

(1) Give a reference from title proper.

110 2# \$a Library of Congress. \$b Manuscript Division.  
\$t Registers of papers in the Manuscript  
Division of the Library of Congress  
430 #0 \$a Registers of papers in the Manuscript  
Division of the Library of Congress

100 1# \$a Breuil, Henri, \$d 1877-1961. \$t Rock  
paintings of southern Africa  
430 #0 \$a Rock paintings of southern Africa

(2) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

100 1# \$a Brenner, Barbara. \$t Hide and seek science  
400 1# \$a Chardiet, Bernice. \$t Hide and seek science

c) *Heading is name/uniform title*

(1) Generally, give a reference from title proper.

100 1# \$a James, Henry, \$d 1811-1882. \$t Selections. \$f  
1983  
430 #0 \$a Selected works of Henry James, Sr. \$f 1983

100 1# \$a Sterne, Laurence, \$d 1713-1768. \$t Works. \$f  
1978  
430 #0 \$a Florida edition of the works of Laurence  
Sterne. \$f 1978

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(2) Generally, give a reference from name/title proper when the title proper differs from the uniform title except when the difference is the addition of a language name, a date, or a parenthetical qualifier.

```
100 1# $a James, Henry, $d 1811-1882. $t Selections. $f
      1983
430 #0 $a Selected works of Henry James, Sr. $f 1983
400 1# $a James, Henry, $d 1811-1882. $t Selected
      works of Henry James, Sr. $f 1983
```

```
100 1# $a Sterne, Laurence, $d 1713-1768. $t Works. $f
      1978
430 #0 $a Florida edition of the works of Laurence
      Sterne. $f 1978
400 1# $a Sterne, Laurence, $d 1713-1768. $t Florida
      edition of the works of Laurence Sterne. $f
      1978
```

(3) Give a reference from name/title proper for another person or corporate body sharing responsibility for the series. (Generally, do not give a reference from a body when the heading is under a personal name.)

```
100 1# $a Landau, L. D. $q (Lev Davidovich), $d 1908-
      1968. $t Teoreticheskaia fizika
400 1# $a Lifshits, E. M. $q (Evgenii Mikhailovich), $d
      1908- $t Teoreticheskaia fizika
```

### *2) Variants of title proper in another source in same/another issue*

Give a reference from a variant title found in the same item or found in another item with the same series title proper. Construct the reference in the same form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Parallel titles.* Give references for all parallel titles. If the heading is a main series and subseries, use the same language, when present, for all parts of the parallel main series/parallel subseries reference(s).

```
130 #0 $a Befolkningsstatistik og sociologisk statistik
430 #0 $a Bevölkerungs- und Sozialstatistik
430 #0 $a Demographic and social statistics
```

430 #0 \$a Statistiques démographiques et sociales  
 430 #0 \$a Statistiche demografiche e sociali  
 430 #0 \$a Sociale en bevolkingsstatistiek

130 #0 \$a Europäische Hochschulschriften. \$n Reihe XXV,  
 \$p Forst- und Holzwirtschaft  
 430 #0 \$a Publications universitaires européennes. \$n  
 Série XXV, \$p Sciences forestières  
 430 #0 \$a European university studies. \$n Series XXV, \$p  
 Forestry and forest products

b) *Other titles.* If important for access to the heading, give a reference from another form of the series title proper found on another source (e.g., on cover, on spine, on map panel, on label) of the same item or on another source of another item having the same series title proper.

130 #0 \$a Composers series (Contemporary Records (Firm))  
 430 #0 \$a Contemporary composers series  
*(Composers series was form on label; Contemporary composers series was form on container for same item)*

130 #0 \$a Mathematical chemistry  
 430 #0 \$a Mathematical chemistry series  
*(Mathematical chemistry was form on ser. t.p.; Mathematical chemistry series was form on cover)*

130 #0 \$a Historical geography research series  
 430 #0 \$a Research paper series (Institute of British Geographers. Historical Geography Research Group)  
*(Later issue with same series title proper on t.p. had cover p. 3 title: Research paper series)*

### 3) *Partial titles*

Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

a) *Typographical prominence.* Give a reference when part of the series title is given typographical prominence.

130 #0 \$a Springer proceedings in physics  
 430 #0 \$a Proceedings in physics  
*(On source "Springer" appears on one line and "proceedings in physics" on another line; other sources in item indicate)*

*title begins with "Springer")*

b) *Subseries or section title.* Give a reference from the subseries or section title unless that title is dependent on the main/common title or is misleading without that title. Do not include a preceding designation in the reference.

```
130 #0 $a Petite bibliothèque. $n Série C, $p Science
      récréative
430 #0 $a Science récréative

130 #0 $a Soviet scientific reviews supplement series.
      $p Physiology and general biology
430 #0 $a Physiology and general biology

130 #0 $a Contributions in political science. $p Soviet
      and American studies on the Third World
430 #0 $a Soviet and American studies on the Third World
```

c) *Generic noun.* Give a reference from the series title or subseries/section title omitting the introductory generic noun (e.g., Serie, Collection, Schriftenreihe) when that noun is followed by a noun or noun phrase. If the partial title reference would consist only of a proper name, add the qualifier "(Series)" to that reference.

```
130 #0 $a Schriftenreihe Christliche Perspektiven im
      Sport
430 #0 $a Christliche Perspektiven im Sport

130 #0 $a Colección Documentos (Universidad Nacional del
      Litoral)
430 #0 $a Documentos (Universidad Nacional del Litoral)

130 #0 $a Coleção "Paulo Freire"
430 #0 $a Paulo Freire (Series)
```

d) *Person's forename, initial, or title.* When the series title begins with a person's forename(s), initial(s), or title, give a reference

from the surname and the remainder of the title;  
from the forename(s) and/or initial(s) and surname and remainder of the title.

```
130 #0 $a Dr. Leonard P. Schultz ichthyological reprint
430 #0 $a Schultz ichthyological reprint
430 #0 $a Leonard P. Schultz ichthyological reprint
```

4) *Variations in title proper that are not "major changes" (applies only to monographic series, other serials, and series-like phrases)*



When the title proper or series-like phrase found on an earlier or later issue differs from the form used in the heading, give a *see* reference from the earlier/later form if the difference does not constitute a major change (cf. AACR2 21.2C and LCRI 21.2C). Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

130 #0 \$a Veröffentlichungen des Kölnischen  
Geschichtsvereins e.V.  
430 #0 \$a Veröffentlichung des Kölnischen  
Geschichtsvereins e.V.  
*(later issue had title: Veröffentlichung des Kölnischen  
Geschichtsvereins e.V.; singular/plural change isn't a major  
change)*

130 #0 \$a Bulletin (Southern Humanities Conference)  
430 #0 \$a Bulletin of the Southern Humanities Conference  
*(later issues had title: Bulletin of the Southern Humanities  
Conference; same body's name added to or subtracted from  
the title isn't a major change)*

130 #0 \$a Wiley series in psychology of crime, policing,  
and law  
430 #0 \$a Wiley series in the psychology of crime,  
policing, and law  
*(later issue had title: Wiley series in the psychology of crime,  
policing, and law; addition/omission of article isn't a major  
change)*

130 #0 \$a Ankara Üniversitesi Hukuk Fakültesi  
yayınları. \$p Döner sermaye yayınları  
430 #0 \$a Ankara Üniversitesi Hukuk Fakültesi  
yayınları. \$p AÜHF döner sermaye yayınları  
*(later issue had title: Ankara Üniversitesi Hukuk Fakültesi  
yayınları. AÜHF döner sermaye yayınları; addition of body's  
initialism isn't a major change)*

130 #0 \$a Seriiâ "Bibliofil'skie redkosti"  
430 #0 \$a Bibliofil'skie redkosti  
*(addition/deletion of type of resource isn't a major change)*

#### 5) Fluctuating titles

a) *Different languages.* If the language of the numbered monographic series title

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proper on later issues varies according to the language of the text, give a reference from the series title proper in the other language(s). (Cf. LCRI 21.2C.) However, if there are parallel editions in different languages, establish separate headings (cf. LCRI 1.6).

130 #0 \$a Taschenbücher zur Musikwissenschaft  
430 #0 \$a Pocketbooks of musicology

b) Regular pattern. If the title proper on later issues of a monographic series varies according to a regular pattern, give a reference from that later title.

### 6) Other situations

a) *Substitutions.* Use judgment when deciding whether a reference that is a modification of the form used in the heading (spelled out form for an abbreviation, word for symbol, word for numeral, arabic numeral for roman numeral, two words for compound word, initialism without periods for initialism with periods, etc.) is appropriate. Consider whether users might expect that form to have been the established form and whether the substitution would occur in the first five words. Construct the reference in the form, title or name/title, that would be used as the heading; generally, do not give references that are variations of these references.

130 #0 \$a Mathématiques & applications  
430 #0 \$a Mathématiques et applications

130 #0 \$a Advances in colour chemistry series  
430 #0 \$a Advances in color chemistry series

130 #0 \$a Database search aids  
430 \$0 \$a Data base search aids

b) *Other title information.* Give a reference from the other title information when it could be construed as the series title proper or subseries title. When an acronym or initialism of the title proper of a serial or an integrating resource is present (cf. AACR2 12.1B2), give a reference from the acronym or initialism.

130 #0 \$a Worldly philosophy  
430 #0 \$a Studies at the intersection of philosophy and  
economics  
(*Later issue had series subtitle: Studies at the intersection of  
philosophy and economics*)

130 #0 \$a Österreichische Schriftenreihe zum gewerblichen  
Rechtsschutz, Urheber- und Medienrecht  
430 #0 \$a ÖSGRUM

### c) Title of series/serial.

Give a reference from the name of the main series (in its AACR2 form) and subseries when a subseries is not entered subordinately to the main series (cf. LCRI 1.6H).

```
130 #0 $a De signo
430 #0 $a Collana Sapiens. $p De signo
```

*(Subseries De signo is not entered subordinately to the main series; no source in preliminaries or publisher's listing in item has both main series title Collana Sapiens and subseries title)*

Give a reference from the name of the serial (in its AACR2 form) and title of series when the name of a serial is contained in the series title proper.

```
130 #0 $a Quaderni della Rivista italiana di musicologia
430 #0 $a Rivista italiana di musicologia. $p Quaderni
      della Rivista italiana di musicologia
```

*(Rivista italiana di musicologia is a separately published serial)*

d) *Multipart item: change of title or change in person or body responsible.* If the title proper changes (AACR2 21.2A1), give a reference from the later title. If the person or body responsible for the multipart item changes (AACR2 21.3A2), give a name/title proper reference from the later person or body.

e) *Romanization/word division.* Give a reference from a form representing another romanization or word division policy.

```
130 #0 $a Jibi inkoka rinsho
430 #0 $a Jibiinkoka rinsho
```

f) *Change in parenthetical qualifier not requiring a new record.* If there is a change in parenthetical qualifier in the series heading and a new record is not required (cf. LCRI 25.5B), give a reference from the series title proper and the changed qualifier if it would help in identification.

```
130 #0 $a Wissenschaftliche Reihe (Husum, Schleswig-
      Holstein, Germany)
430 #0 $a Wissenschaftliche Reihe (Nienburg, Germany)
```

g) *Introductory words to title proper.* If introductory words to the title proper were not transcribed as part of the title proper in the heading (1.1B1), give a reference from the title including those words.

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130 #0 \$a Easy handcrafts series  
430 #0 \$a Scandinavian heritage presents easy handcrafts series

h) *Correction of title proper of a serial or an integrating resource.* If the title proper in the heading has been transcribed in a corrected form (cf. AACR2 12.0F, 12.1B1), give a reference for the title as it appears on the serial or integrating resource.

130 #0 \$a Studies in American art  
430 #0 \$a Studies in Amerrican art

i) *Pre-AACR2 form of heading.* Optionally, include information about the pre-AACR2 form of heading in the series authority record. If there is a one-to-one relationship, give a reference from the pre-AACR2 form of heading and code subfield \$w appropriately. If there is not a one-to-one relationship or if the reference normalizes to the same form as a heading or another reference, give the pre-AACR2 heading information in a note.

130 #0 \$a Bulletin of the Scripps Institution of  
Oceanography, University of California, San  
Diego  
410 1# \$w nnaa \$a California. \$b University. \$b Scripps  
Institution of Oceanography, La Jolla.  
\$t Bulletin

130 #0 \$a APA private practice series  
667 ## \$a Includes the old catalog headings: American  
Psychological Association. APA private practice  
series; American Psychological Association.  
A.P.A. private practice series  
*(made-up example; not a one-to-one relationship: only one  
heading needed for AACR2 but two headings needed earlier)*

130 #0 \$a Monograph (International Violin, Guitar Makers  
& Musicians Association)  
667 ## \$a Previous to AACR2 covered by the heading:  
International Violin, Guitar Makers &  
Musicians Association. Monograph  
*(made-up example; not a one-to-one relationship: two  
headings needed for AACR2; other heading: Monograph  
(Violin, Guitar Makers & Musicians Association); only one  
heading needed earlier because name change of body was  
handled via latest entry cataloging)*

130 #0 \$a Journal of mathematical biology. \$p Supplement  
667 ## \$a Old catalog heading: Journal of mathematical  
biology : supplement

j) *Miscellaneous.* Give any other see reference not already mentioned if it is important for access to the heading.

130 #0 \$a Discussion paper (University of East Anglia.  
School of Development Studies)  
430 #0 \$a Development studies discussion paper  
*(Cataloger's judgment whether series title should be recorded  
as Discussion paper or Development studies discussion paper  
based on presentation; reference given from form not chosen  
as approach to the heading for someone who would have  
chosen the other form as series title)*

### General Guidelines for See Also References

If the earlier or later heading is not represented by its own series authority record, give the earlier or later information in a note instead of giving a see also reference. End such a note with the label "[unevaluated heading]."

130 #0 \$a Occasional paper (University of Singapore.  
Dept. of Political Science)  
667 ## \$a Continues: Singapore (City). University. Dept.  
of Political Science. Occasional paper series -  
Department of Political Science, University of  
Singapore [unevaluated heading]

### See Also References by Category of Series Authority Record

#### 1) *Monographic series and other serials*

If a new series authority record is required by AACR2 21.2C or 21.3B and related LCRIS, connect the series authority records for the earlier and later headings with see also references.

For numbered monographic series, code subfield \$w to indicate whether the reference is for the earlier or later heading. Generally, do not code subfield \$w for unnumbered monographic series.

130 #0 \$a Royal Institute of Philosophy lectures  
530 #0 \$w b \$a Royal Institute of Philosophy supplement  
  
130 #0 \$a Royal Institute of Philosophy supplement  
530 #0 \$w a \$a Royal Institute of Philosophy lectures  
  
130 #0 \$a Weidenfeld psychology series  
530 #0 \$a Weidenfeld modern psychology series  
*(series is unnumbered: subfield \$w is not coded)*  
  
130 #0 \$a Weidenfeld modern psychology series

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530 #0 \$a Weidenfeld psychology series  
(*series is unnumbered: subfield \$w is not coded*)

### 2) *Multipart items*

Remember that a change in main entry heading or title of a multipart item is handled with a *see* reference (see 6)d) above).

### 3) *Series-like phrases*

If a new series authority record is required by AACR2 21.2C or 21.3B and related LCRIS, connect the series authority records for the earlier and later headings with *see also* references. Generally, do not code subfield \$w.

130 #0 \$a Golden Press book  
530 #0 \$a Golden Press modern book  
  
130 #0 \$a Golden Press modern book  
530 #0 \$a Golden Press book